Theoretical Study of Ethylene Addition to O=W(=CH₂)(CH₃)₂

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Z. Naturforsch. 2007, 62b, 367 - 372; received October 18, 2006

Dedicated to Prof. Helgard G. Raubenheimer on the occasion of his 65th birthday

Quantum chemical calculations using density functional theory at the B3LYP level of theory were carried out to investigate the reaction pathways for the addition of ethylene to $WO(CH_3)_2(CH_2)$ (W1). The results are compared to those of previous theoretical studies of the ethylene addition to $OsO_3(CH_2)$ (Os1) and $ReO_2(CH_3)(CH_2)$ (Re1). The theoretically predicted reactions pathways exhibit significant differences. The energetically most favourable reaction of the tungsten system W1 is the $[2+2]_{W,C}$ addition across the W=C double bond yielding the metallacyclobutane W3a which then rearranges to the slightly more stable isomer W3b. The $[2+2]_{Re,C}$ addition of the rhenium compound yielding the metallacyclobutane Re3a has the lowest activation barrier for the ethylene addition to the rhenium system, but the reaction is endothermic while the exothermic formation of the more stable isomer Re3b has a much higher activation barrier. The $[3+2]_{C,O}$ addition Os1 + $C_2H_4 \rightarrow$ Os2 is the thermodynamically most favorable reaction of the osmium compound.

Key words: Reaction Mechanism, DFT Calculations, Oxo Carbene Complexes, Cycloaddition, Metallacycle

Introduction

Various theoretical studies [1] have shown that the addition of olefins to OsO_4 initiates with a concerted [3+2] reaction rather than through a two-step process with initial [2+2] addition across an Os=O double bond. Subsequent work [2] has indicated that other metal oxides such as RuO_4 and ReO_3^- also prefer a [3+2] mechanism over a [2+2] reaction [3]. The introduction of an imido group in $OsO_2(NH)_2$ does not change the overall profile of the reaction. Deubel and Muñiz [4] reported that the three [3+2] addition reactions of ethylene which are possible for the system are clearly favoured over the [2+2] reactions. The authors predicted that the calculated activation barriers decrease with the order Olo > Olos NH > NH/NH.

The situation becomes different when a metal-carbene double bond is involved in the reaction with an olefin. It has recently been shown by us that the [2+2] addition of ethylene to OsO₂(CH₂)₂, OsO₃(CH₂), and ReO₂(CH₃)(CH₂) becomes competitive or even more favorable than the [3+2] reaction [5-7]. The calculated reaction profiles were found to be significantly more complicated than those for the ethylene addition to binary metal oxides, because the C-C and C-O ring closure can lead to energetically low lying in-

termediates. We extended our theoretical investigations to group-6 compounds, because tungsten and molybdenum are the only transition metals besides rhenium for which oxo carbenes are experimentally known [8]. To this end we theoretically investigated the reaction course for the addition of ethylene to WO(CH₃)₂(CH₂) which is a model compound for WO(tBuCH₂)₂[CtBu(SiPh₂tBu)]. The latter molecule was synthesized by an unusual silyl migration and consecutive treatment with O₂ [9].

In this paper, we present our theoretical results of the reaction pathways for the addition of ethylene to $WO(CH_3)_2(CH_2)$ and compare them to the recently published data of the related ethylene addition to $OsO_3(CH_2)$ and $ReO_2(CH_3)(CH_2)$ [7].

Computational Methods

All geometry optimizations were carried out without any symmetry constraints using gradient corrected density functional theory (DFT) employing the B3LYP hybrid functional [10] as implemented [11] in the Gaussian 03 program [12]. For the elements C, H and O, Ahlrichs' TZVP basis set [13] was used. For Os, Re and W, the Stuttgart/Köln relativistic effective core potential (ECP) replacing 60 core electrons was em-

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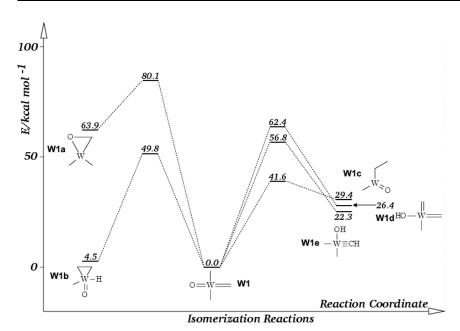


Fig. 1. Calculated reaction coordinate for the isomerizations of WO(CH₃)₂(CH₂) (W1) at B3LYP/II/B3LYP/I+ZPE.

ployed in combination with a (311111/22111/411) valence basis set [14]. This combination is denoted here as basis set I. At all stationary points, the vibrational frequencies were calculated to verify the nature of stationary point (minimum or transition state). For every transition state, intrinsic reaction coordinate (IRC) [15] calculations were performed in order to verify the connectivity between minima and transition states. On the stationary points at the B3LYP/I level of theory, additional single point calculations were performed using a larger basis set II in conjunction with the B3LYP hybrid functional. In basis set II, the Stuttgart/Köln valence basis set is augmented by two sets of f functions and one set of g functions derived by Martin and Sundermann [16]; for the elements C, H and O, the correlation consistent cc-pVTZ basis set of Dunning [17] was used. All relative energies discussed below relate to B3LYP/II//B3LYP/I calculations and include unscaled zero point energy (ZPE) contributions. The ZPE contributions were taken from the B3LYP/I calculations.

Results and Discussion

In our previous theoretical work about ethylene addition to $OsO_2(CH_2)_2$, $OsO_3(CH_2)$, and $ReO_2(CH_3)(CH_2)$ we found that the metal compounds may rearrange to isomers which may be lower in energy than the parent system [5-7]. We therefore calculated isomers of $WO(CH_3)_2(CH_2)$ (W1) and the as-

sociated transition states. Fig. 1 shows the calculated reaction profile for the isomerizations of **W1**.

Five isomerizations were considered, two leading to cyclic (W1a and W1b) and three leading to acyclic (W1c - W1e) isomers. All isomers W1a - W1e are higher in energy than the parent structure W1. The cyclic isomer W1a is the least stable form which is 63.9 kcal mol⁻¹ higher in energy than W1. The activation barrier for the process $\mathbf{W1} \rightarrow \mathbf{W1a}$ is 80.1 kcal mol⁻¹. The isomerization to the other cyclic isomer W1b which comprises C-C bond formation and methyl-to-metal hydrogen migration is only slightly endothermic by $4.5 \text{ kcal mol}^{-1}$, but the activation barrier of 49.8 kcal mol⁻¹ is still quite high. The rearrangement of W1 yielding W1c via methyl migration is the kinetically most favorable process, but the activation barrier of 41.6 kcal mol⁻¹ is still prohibitively large. Furthermore, W1c is thermodynamically disfavored by 29.4 kcal mol⁻¹. 1,2 Hydrogen migrations from the methyl or from the methylene group yield isomers W1d and W1e which are 3.0 and 7.1 kcal mol^{-1} more stable than **W1c**, but both isomers are still 26.4 kcal mol⁻¹ (**W1d**) and 22.3 kcal mol^{-1} (W1e) less stable than W1. Additionally, the substantial barriers of $62.4 \text{ kcal mol}^{-1}$ for the reaction $\mathbf{W1} \rightarrow \mathbf{W1d}$ and 56.8 kcal mol⁻¹ for the reaction $W1 \rightarrow W1e$ indicate that the biscarbene (W1d) and hydroxo carbyne (W1e) isomers are unlikely to be formed under normal conditions. It is interesting

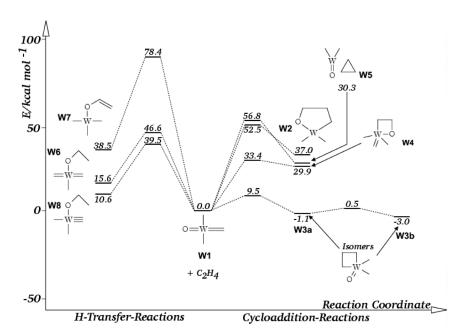


Fig. 2. Calculated reaction coordinate for the addition of ethylene to WO(CH₃)₂(CH₂) (W1) at B3LYP/II//B3LYP/I+ZPE.

to note that isomer W1c is slightly higher in energy than W1d and W1b but the formation of the latter species from W1 has much higher activation barriers than the rearrangement yielding W1c. The calculated reaction profile shown in Fig. 1 suggests that the isomeric forms W1a-W1e should not play an important role in the ethylene addition to W1. We therefore focused on the reaction pathways for the reaction C_2H_4+W1 . The theoretically predicted reaction profile is shown in Fig. 2.

There is only one possible [3+2] pathway leading to the metallacycle W2. The reaction is endothermic by $37.0 \text{ kcal mol}^{-1}$ with a substantial barrier of 52.5 kcal mol⁻¹. Fig. 2 shows two concerted [2+2] cycloadditions: one yielding W3a and the other yielding W4. The addition of C₂H₄ across the W=CH₂ bond of W1 forming W3a is a slightly exothermic reaction by $-1.1 \text{ kcal mol}^{-1}$ with a barrier of only 9.5 kcal mol⁻¹. Consecutively, **W3a** can isomerize *via* a transition state of $0.5 \text{ kcal mol}^{-1}$ to W3b. This isomerization is slightly exothermic by $-1.9 \text{ kcal mol}^{-1}$. The second [2+2] cycloaddition of ethylene across the W=O bond of W1 yielding W4 is kinetically and thermodynamically much less favorable than the addition across the W=CH2 bond. The process $W1 \rightarrow W4$ is endothermic by 29.9 kcal mol⁻¹ with a substantial barrier of $33.4 \text{ kcal mol}^{-1}$. Note, however, that the latter reaction still has a lower barrier and is less endothermic than the [3+2] addi-

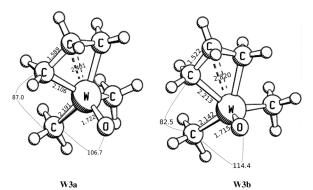


Fig. 3. Optimized structures at B3LYP/I of the isomeric forms **W3a** and **W3b** with selected interatomic distances in Å and angles in degree.

tion. Finally, the reaction of **W1** with C_2H_4 yielding **W5** can be regarded as a simultaneous [2+1] cycloaddition/elimination. The latter reaction gives cyclopropane and $WO(CH_3)_2$ as products. The process is endothermic by 30.3 kcal mol⁻¹ with a barrier of 56.8 kcal mol⁻¹. The calculations predict that the [2+2]_{W,C} addition of ethylene to **W1** is the kinetically and thermodynamically most favorable reaction, while the [3+2] addition is clearly less favored.

Fig. 3 shows the geometries of the energetically lowest lying reaction products **W3a** and **W3b** which are predicted to be formed *via* [2+2] addition of ethylene across the W=CH₂ bond. Isomer **W3a** has a significantly shorter distance between tungsten and the

Structure	$WO(CH_3)_2(CH_2)$ (W1)		$ReO_2(CH_3)(CH_2) (\textbf{Re1})^a$		$OsO_3(CH_2) (Os1)^b$	
	ΔE_R	ΔE_A	ΔE_R	ΔE_A	ΔE_R	ΔE_A
M1a	63.9	80.1	34.9	68.4	-27.5	41.3
M1b	4.5	49.8	-5.2	83.0	-	_
M1c	29.4	41.6	18.0^{c}	30.3 ^c	_	_
M1d	26.4	62.4	26.7	66.9	_	_
M1e	22.3	56.8	22.9 ^c	60.9 ^c	5.7 ^c	57.1 ^c

Table 1. Calculated reaction energies (ΔE_R) and activation energies (ΔE_A) for the isomerizations of WO(CH₃)₂(CH₂) (W1) in comparison with the corresponding rhenium and osmium systems **Re1** and **Os1** at B3LYP/II//B3LYP/I+ZPE. All values in kcal mol⁻¹.

Structure	W1 + C ₂ H ₄		Re1 + C ₂ H ₄ ^a		Os1 + C ₂ H ₄ ^b	
(cycloaddition)	ΔE_R	ΔE_A	ΔE_R	ΔE_A	ΔE_R	ΔE_A
M2 ([3+2] _{C.O})	37.0	52.5	6.7	35.7	-49.3	4.7
M3a ([2+2] _{M,C})	-1.1	9.5	22.4	27.7	6.9	22.3
M3b ([2+2] $_{M,C}'$)	-1.9	1.6	-6.8	48.9	-10.9	37.7
$M4([2+2]_{M,O})$	29.9	33.4	16.3	44.0	9.6	36.2
M5 ([2+1] $_{M,C}$)	30.3	56.8	25.3 ^c	40.1 ^c	-23.2^{c}	_
M6	15.6	46.6	15.4 ^c	42.6 ^c	_	_
M7	38.5	78.4	9.2^{c}	66.1 ^c	-34.2	25.0
M8	10.6	39.5	10.8	36.3	-7.1	19.6

Table 2. Calculated reaction energies (ΔE_R) and activation energies (ΔE_A) for the addition of ethylene to WO(CH₃)₂(CH₂) (W1) in comparison with the corresponding rhenium and osmium systems **Re1** and **Os1** at B3LYP/II//B3LYP/I+ZPE. All values in kcal mol⁻¹.

^a Taken from ref. [7]; ^b taken from ref. [6]; ^c these values of the osmium and rhenium systems were not published in ref. [6] and [7]; they are from more recent calculations by us.

 C_{β} atom of the ring (2.401 Å) than **W3b** which indicates stabilizing transannular interactions in the former species. Isomer **W3b** has a longer W- C_{β} distance (2.820 Å) than **W3a** but the C-C bonds in the former species (1.522 Å) are much shorter than in **W3a** (1.589 Å).

Finally, there are three reaction pathways where the ethylene molecule adds to the oxygen atom of 1 with concomitant hydrogen migration. In the reaction $W1 \rightarrow W7$ a H atom migrates from ethylene to the methylene group of W1 while in the reaction $W1 \rightarrow W8$ a hydrogen atom is transferred in the opposite direction. The latter reaction has a much lower activation barrier (39.5 kcal mol⁻¹) than the former process (78.4 kcal mol⁻¹). The reaction $\mathbf{W1} \rightarrow \mathbf{W8}$ is also less endothermic (10.6 kcal mol⁻¹) than the reaction $W1 \rightarrow W7$ (38.5 kcal mol⁻¹). The ethylene addition to oxygen centers with simultaneous hydrogen atom transfer from a methyl group of W1 to ethylene yielding **W6** is an endothermic reaction by 15.6 kcal mol^{-1} with a barrier of $46.6 \text{ kcal mol}^{-1}$. None of the latter three reactions should be able to compete with the ethylene [2+2] addition across the W=CH₂ bond.

Comparison with the ethylene addition to $OsO_3(CH_2)$ and $ReO_2(CH_3)(CH_2)$

In the following, we compare the calculated activation barriers and reaction energies of the rearrangements shown in Fig. 1 and the ethylene addition reactions given in Fig. 2 for WO(CH₃)₂(CH₂) with the theoretical data which have been previously reported by us for the related molecules OsO₃(CH₂) [6] and ReO₂(CH₃)(CH₂) [7]. Table 1 shows the reaction ener-

gies and activation energies for the isomerization processes of the tungsten system **W1** and its corresponding rhenium (**Re1**) and osmium (**Os1**) analogs.

The energies for the C-O cyclization reaction yielding the metallaoxetanes M1a are very different for the tungsten, rhenium, and osmium systems. The reaction $M1 \rightarrow M1a$ which is strongly endothermic for M = W becomes less endothermic for M = Reand is even exothermic for M = Os. The activation barriers decrease in the same order W>Re>Os but the activation barrier for the exothermic formation of the osmium isomer Os1a is still rather high (41.3 kcal mol⁻¹). The reaction $M1 \rightarrow M1b$ which is only available for M = W, Re becomes slightly exothermic for the rhenium system but the activation barrier for the latter is much higher than for the tungsten compound. The very high barrier suggests that the rearrangement $Re1 \rightarrow Re1b$ is symmetry forbidden.

The methyl migration reaction $M1 \rightarrow M1c$ becomes somewhat less endothermic and has a lower activation barrier when M = W than for M = Re. The hydrogen migration from the methyl group to the oxo function $M1 \rightarrow M1d$ has very high activation barriers and similar reaction energies for tungsten and rhenium. The same situation is predicted by the calculated values for the hydrogen migration from the methylene group to oxygen $M1 \rightarrow M1e$ where the formation of the osmium isomer is only slightly endothermic but still has a high activation barrier.

Table 2 shows the reaction energies and reaction barriers for the addition of ethylene to **W1** and its corresponding rhenium and osmium analogs.

The $[3+2]_{C,O}$ cycloaddition yielding **W2** is endothermic, the reaction is nearly thermoneutral for **Re2** while it becomes strongly exothermic for **Os1**. We want to point out that the $[3+2]_{C,O}$ reaction $\mathbf{Os1} + \mathbf{C}_2\mathbf{H}_4 \rightarrow \mathbf{Os2}$ is clearly the most favorable process for the osmium system, both kinetically and thermodynamically. This is *not* the case for the tungsten and rhenium systems **W1** and **Re1**! The most favorable reactions of ethylene with **W1** and **Re1** are the $[2+2]_{M,C}$ cycloadditions across the M=C double bonds. The latter reaction shows some peculiar features which deserve to be discussed in more detail.

As shown above, the $[2+2]_{M,C}$ reaction of the tungsten system yielding first W3a which rearranges to the more stable isomer W3b is slightly exothermic by $-3.0 \text{ kcal mol}^{-1}$ and has an overall reaction barrier of only 9.5 kcal mol⁻¹. The pathways for the $[2+2]_{M,C}$ addition of the rhenium and osmium systems are qualitatively different from those of the tungsten compound. Unlike the tungsten system, the two isomeric forms M3a and M3b (M = Re, Os) come from two separate reaction channels of the $[2+2]_{M,C}$ addition M1 + ethylene. We located two transition states for the reactions $M1 + \text{ethylene} \rightarrow M3a$ and $M1 + \text{ethylene} \rightarrow M3b$ for M = Re and Os while for M = W we could not find such a transition state. IRC calculations have clearly shown that the two transition states are connected to the different isomers M3a and **M3b** (M = Re, Os) [6, 7]. The latter [2+2]_{M,C} additions yielding the isomers M3b are exothermic while the formation of M3a is endothermic (Table 2). Surprisingly, the exothermic reaction $M1 + \text{ethylene} \rightarrow M3b$ has a higher barrier than the endothermic reaction $M1 + \text{ethylene} \rightarrow M3a!$ This is an unusual finding which suggests that the former reaction is symmetry forbidden while the latter is not. There is no transition state for the interconversion between Re3a and **Re3b**. This is because the transition state for the reaction **Re1** + ethylene \rightarrow **Re3a** is only 5.3 kcal mol⁻¹ higher in energy than **Re3a**. A small geometrical distortion of the latter easily opens the channel for separation of ethylene. Searching for a transition state of interconversion between Os3a and Os3b was also not successful so far.

The $[2+2]_{M,O}$ addition of ethylene across the M=O bond, M1 + ethylene $\rightarrow M4$, is endothermic and it has high activation barriers for all metals M=W, Re, Os. It should not play a role in experimental studies. The [2+1] addition of ethylene to M1 yielding cyclopropane and the metal frag-

ment M5 is also endothermic and has high activation barriers for M = W, Re, but for M = Os the reaction Os1 + ethylene \rightarrow cyclopropane + Os5 becomes clearly exothermic by -23.2 kcal mol⁻¹ (Table 2). We could not find a transition state for the latter process. However, in recent calculations we did find a transition state for the process $Os3b \rightarrow cyclopropane + Os5$; the activation barrier of 31.0 kcal mol⁻¹ is quite high.

The ethylene addition reactions to an oxygen atom of M1 with concomitant hydrogen migration yielding M6-M8 are endothermic and have high activation energies for M = W, Re. The reactions of the osmium system yielding Os7 and Os8 are exothermic and have medium activation energies which are clearly higher than for the [3+2] addition.

Summary

The calculations of the ethylene addition to W1 predict that the energetically most favorable reaction is the [2+2]_{W.C} addition across the W=C double bond yielding the metallacyclobutane W3a which then rearranges to the slightly more stable isomer W3b. The reaction is weakly exothermic by $-3.0 \text{ kcal mol}^{-1}$ and has an overall activation barrier of $9.5 \text{ kcal mol}^{-1}$. The [3+2]_{C,O} addition of ethylene to W1 is endothermic by 37.0 kcal mol⁻¹ and has a very high barrier of 52.5 kcal mol⁻¹. All other addition reactions of C₂H₄ to W1 are predicted to be endothermic and to possess high activation barriers and therefore, they should not play a role. Comparison with the rhenium and osmium systems shows significant differences particularly for Os1. The [3+2]_{CO} addition $\mathbf{Os1} + \mathbf{C}_2\mathbf{H}_4 \rightarrow \mathbf{Os2}$ is the thermodynamically most favorable reaction of the osmium compound which should proceed with a low activation barrier of only 4.7 kcal mol⁻¹. Unlike for the tungsten system, the reaction of the osmium compound is exothermic by -49.3 kcal mol. A possibly competing process for the latter is the cyclopropanation reaction for which the transition state could not be located. The reaction is exothermic by $-23.2 \text{ kcal mol}^{-1}$. The $[2+2]_{M,C}$ addition of ethylene across the M=C double bond of Os1 and Re1 proceeds with two different pathways yielding the isomeric metallacycobutanes M3a and M3b. The formation of M3b is slightly exothermic for both metals but has higher activation energies than the $[2+2]_{M,C}$ addition yielding the less stable isomers **M3a**. For the rhenium system the $[2+2]_{Re,C}$ reactions are kinetically and thermodynamically more favorable than the $[3+2]_{C,O}$ addition reaction which is slightly endothermic but has a rather high activation barrier of 35.7 kcal mol⁻¹. The ethylene addition reactions to the oxygen atom of **M1** with concomitant hydrogen migration yielding **M6**–**M8** are energetically unfavorable for M = W, Re. The latter reactions of the osmium system yielding **Os7** and **Os8** are exothermic, but the activation barriers are clearly higher than for the $[3+2]_{C,O}$ addition.

We want to emphasize that the results which are presented here do not answer all questions about the addition reaction of ethylene to the transition metal oxo compounds M1. For example, the question why the $[3+2]_{C,O}$ addition of ethylene to Os1, which is clearly favored over the $[2+2]_{M,C}$ addition, is strongly exothermic and has a low activation barrier while the

same reaction of **W1** and **Re1** shows the opposite trend needs to be addressed. Other questions concern the rearrangement reactions which may take place in the metal oxo compounds prior to ethylene addition [6, 7]. We realize that this topic will require more work before definite conclusions can be drawn. The results which are presented here show that the investigation of the addition reaction of ethylene (and other unsaturated systems) deserves attention by computational chemistry. We continue with our efforts in the field.

Acknowledgement

The generous allotment of computer time by the CSC Frankfurt, the HLR Stuttgart, the HHLR Darmstadt and the HRZ Marburg, as well as their excellent service is gratefully acknowledged.

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